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# Boiling of Catechol Secondary Organic Aerosol When Heated to Mild Temperatures (36–52 °C) Due to Carbon Dioxide Formation and High Viscosity

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catechol dimers to be the major product in unheated SOA and observed the degradation of these dimers after heating. Viscosity calculations suggested the mixing time of acetone and  $CO_2$  within the particles was 11 and 1 h at temperatures of 36 and 52 °C, respectively. The observed boiling can be explained by the production and slow mixing of  $CO_2$  within the SOA particles when subjected to mild temperatures. Our results underscore the importance of considering decomposition, high viscosities, and slow mixing times when interpreting thermal desorption measurements of SOA, even upon heating to mild temperatures.

KEYWORDS: catechol, secondary organic aerosol, thermal decomposition, decarboxylation, viscosity

# **1. INTRODUCTION**

Biomass burning, including wildfires, emits large amounts of volatile organic compounds (VOCs) into the atmosphere. One major class of VOCs emitted from biomass burning is phenolic compounds.<sup>1–3</sup> Once in the atmosphere, phenolic compounds will be oxidized by  $O_{3}$ , OH, and NO<sub>3</sub> to form lower volatility products, which can form new secondary organic aerosol (SOA) particles or partition to the particle phase to grow preexisting SOA.<sup>1,4–8</sup> SOA can contribute to poor air quality and can influence climate by directly scattering or absorbing solar radiation or by acting as nuclei for clouds.<sup>9,10</sup>

To predict the environmental impacts of SOA, information about their volatility and chemical composition is needed. Thermal desorption (TD) techniques are widely used to determine both of these properties. TD techniques coupled with particle sizing instruments are often used to determine the volatility of SOA, and TD techniques coupled with mass spectrometers are often used to determine both volatility and chemical composition.<sup>11–20</sup> To interpret TD measurements, information on the thermal stability (i.e., resistance to thermal decomposition) of SOA components is needed.<sup>18</sup> Several previous studies have investigated the thermal stability of SOA components and showed that some SOA components can decompose when heated to temperatures used in TD experiments.<sup>18,19,21–28</sup> However, no studies have investigated the thermal stability of catechol +  $O_3$  SOA. Catechol (Figure S1) is a phenolic VOC emitted during biomass burning,<sup>1,2</sup> and it produces SOA upon oxidation by  $O_3$ .<sup>6,7</sup> Although not studied here, catechol + OH and catechol + NO<sub>3</sub> can also produce SOA.<sup>2,29</sup>

To interpret results from TD measurements, information is also needed about the viscosity of SOA when heated. When converting TD measurements to volatilities, researchers have often assumed that the SOA particles are well mixed, i.e., that the organic molecules are homogeneously mixed throughout the SOA particle. This assumption implies that the SOA viscosity is low and viscosity is not a kinetic barrier to

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evaporation.<sup>11,12,30</sup> Alternatively, researchers have assumed that viscosity can provide a kinetic barrier to evaporation, but this kinetic barrier does not change with temperature and can be described using an effective mass accommodation coefficient.<sup>16</sup> Information about the viscosities of SOA at temperatures commonly used in TD measurements is needed to test these assumptions. Also related to the previous paragraph, thermal decomposition of SOA components could lead to a change in SOA viscosity since composition and viscosity are tightly connected. Many studies have investigated the viscosities of SOA at room temperature,<sup>31-39</sup> but less attention has focused on the viscosity of SOA upon heating,<sup>40-44</sup> and no studies have investigated the viscosity of phenolic SOA upon heating. In the studies that focus on the viscosity of SOA upon heating, researchers often assume that thermal decomposition of SOA components does not occur when aerosols are heated to mild temperatures.

Recently, we developed a hot-stage microscopy technique for measuring the temperature-dependent viscosity of SOA.<sup>42</sup> This technique involves heating an SOA sample with nonspherical geometries and quantifying the change in shape of the SOA sample due to heating with an optical microscope. From the shape change, viscosities can be calculated. This method worked as expected for farnesene + OH SOA.<sup>42</sup> However, when attempting to measure the temperaturedependent viscosity of SOA generated by the ozonolysis of catechol, surprisingly, the volume of the SOA increased when heated to mild temperatures (36–52 °C).

The chemical composition of SOA formed by the ozonolysis of catechol has been investigated in only a few studies. Pillar-Little et al. experimentally investigated the heterogeneous oxidation of catechol thin films by ozone, observing the formation of muconic acid.<sup>7</sup> Further oxidation of muconic acid resulted in a range of acids including glyoxylic, oxalic, crotonic, maleic, glutaconic, 4-hydroxy-2-butenoic, and 5-oxo-2-pentenoic acids. Additionally, indirect oxidation by in situ produced OH led to the generation of semiquinone radical intermediates, contributing to the synthesis of polyhydroxylated aromatic rings such as tri-, tetra-, and penta-hydroxybenzene. Sun et al. conducted theoretical investigations on the oxidation of catechol by ozone, predicting reaction products such as 2hydroxy-6-oxohexa-2,4-dienoic acid, 5-(carboxyoxy)-2-hydroxypenta-2,4-dienoic acid, 1-hydroxy-5-oxopenta-1,3-dien-1-yl hydrogen carbonate, oxalaldehyde, malealdehyde, and oxalic acid.<sup>45</sup> Barnum et al. investigated the ozonolysis of catechol in both condensed and gas phases using experimental and theoretical approaches. They identified muconic acid as the dominant reaction product.46

Here, we focus on the unique physical and chemical properties of catechol +  $O_3$  SOA when heated to mild temperatures (36–52 °C). We used an optical microscope to monitor the change in size and morphology during heating of the SOA. To better understand the optical microscope results and the thermal stability of the components, we used three different mass spectrometry techniques to measure the composition of the gas and particle phases before, during, and after heating. We also calculated the viscosity of the SOA at temperatures of up to 52 °C. The implications of these results for interpreting TD measurements are discussed.

#### 2. MATERIALS AND METHODS

**2.1. SOA Generation.** SOA was generated from the dark ozonolysis of catechol in a continuous-flow environmental

chamber, described in detail elsewhere.<sup>32,47</sup> In addition,  $\alpha$ -pinene + O<sub>3</sub> SOA was generated by the dark ozonolysis of  $\alpha$ -pinene in the same environmental chamber and used as a reference system for some of the experiments. For the remainder of the paper, we refer to catechol + O<sub>3</sub> SOA and  $\alpha$ -pinene + O<sub>3</sub> SOA as just catechol SOA and  $\alpha$ -pinene SOA, respectively.

The environmental chamber was a 1.8 m<sup>3</sup> Teflon bag housed within an aluminum enclosure. The flow rate through the chamber was  $\sim 18$  L min<sup>-1</sup>, resulting in a mean residence time in the chamber of  $\sim 1.7$  h. Both VOCs and O<sub>3</sub> were carried into the chamber via pure air streams. For the VOC feed line, a 2 wt % solution of catechol or  $\alpha$ -pinene in 2-butanol was injected into a heated round bottom flask using a syringe pump. The injection rate for both catechol and  $\alpha$ -pinene was 30  $\mu$ L h<sup>-1</sup>. 2-Butanol was used as a scavenger for OH radicals that can be generated as a byproduct of ozonolysis. Cavalli et al. showed that the major oxidation products of butanol + OH are butanal, propanal, ethanal, and formaldehyde.<sup>48</sup> The vapor pressures of these molecules are high, likely preventing a large amount of the products from partitioning into the particle phase. Furthermore, butanol has been used as an OH scavenger in a large number of chamber experiments because its oxidation products do not contribute significantly to the SOA mass. For the O<sub>3</sub> feed line, pure air flowed through an ozone generator (UV-pen lamp; Jelight, model 610) and into the chamber. Both the ozone concentration and aerosol mass loading were measured at the outlet of the chamber. Ozone was in excess and was measured to be  $\sim$ 325–370 ppb using an O<sub>3</sub> monitor (49i, Thermo Scientific). The mass loading in the chamber for catechol SOA ranged from 13–70  $\mu$ g m<sup>-3</sup> during collection. For  $\alpha$ -pinene SOA, the mass loading was ~30-55  $\mu$ g m<sup>-3</sup>, as measured using an optical particle counter (GRIMM, 11-S OPC). The OPC measures particle sizes from 250 nm to 32  $\mu$ m. Significant mass was measured for both catechol and  $\alpha$ pinene SOA for diameters between 250 and 700 nm, with the most mass measured between 350 and 450 nm. The resulting SOA particles were collected on hydrophobic glass slides or silicon wafers at the outlet of the chamber using a multiorifice single-stage impactor with a 50% cutoff diameter of ~0.18  $\mu$ m (MOSSI, MSP Corporation), operated at a flow rate of 12 L min<sup>-1</sup>. For imaging experiments, proton-transfer-reaction timeof-flight mass spectrometry (PTR-ToF-MS) analysis, and ultrahigh-performance liquid chromatography heated electrospray ionization high-resolution mass spectrometry (UHPLC-HESI-HRMS) analysis, the particles were collected on hydrophobic glass slides (22 mm, Hampton Research Corp., HR3-215). For electron impact mass spectrometry (EI-MS) analysis, the particles were collected on silicon wafers with a native oxide layer (p-type, 111, Wacker Siltronic Inc., Germany). SOA collection times were  $\sim 16-27$  h. During the collection period, some further oxidation of the SOA may have occurred in the impactor as O<sub>3</sub> was removed from the gas stream only following the impactor. However, most of the oxidation likely occurred in the chamber, since O<sub>3</sub> was in excess.

2.2. Optical Microscopy of SOA Material during Heating. A temperature-controlled cell (HC321Gi, INSTEC) mounted above a microscope (Axio Observer, Zeiss) was used for imaging the SOA material during heating. The temperature of the cell was controlled by offsetting hot (electrical) and cold (liquid nitrogen) inputs around the inner walls of the cell. We performed some heating experiments while viewing the particles from the top, and others while viewing the particles

from the side (Figure S2). These two orientations required different sample preparation. For the top view experiments, particles on the glass slide were scraped into a pile by using a razor blade, and the glass slide was then directly inserted into the temperature-controlled cell. For a side view, the particles on the glass slides were scraped into a pile and then attached to the flat end of an ultrafine needle (Roboz Surgical Instruments Co.) by bringing the needle surface into contact with the scraped particles. The needle was then inserted into the temperature-controlled cell as detailed previously.<sup>42</sup> The results and conclusions presented herein were not sensitive to the viewing method (top view vs side view).

Prior to the imaging experiments, the temperaturecontrolled cell was purged with nitrogen for a minimum of 15 min and then sealed from the surrounding atmosphere. We also performed additional experiments with the particles exposed to ambient air during heating and found that the results were not sensitive to the type of atmosphere. Imaging experiments were carried out by using a temperature ramp rate of 2 °C min<sup>-1</sup>. Alternatively, the temperature was quickly increased (2 °C s<sup>-1</sup>) to a set temperature and then held at that set temperature for a certain period of time. Temperatures reported hereafter have been calibrated using melting points of standard materials, described in detail in previous publications.<sup>42,49</sup>

**2.3.** Vocus PTR-ToF-MS Characterization of Gas-Phase Vapors during Heating. To detect the gas phase molecules emitted by the SOA material while heating, we connected a Vocus PTR-ToF-MS (Tofwerk/Aerodyne) to the temperature-controlled cell discussed above using a 1/4 in. OD fluorinated ethylene-propylene (FEP) sample line. A stream of nitrogen air (1000 sccm) continuously flowed through the temperature-controlled cell and into the Vocus system to detect gas-phase molecules. Prior to each experiment, the temperature-controlled cell was thoroughly cleaned with isopropyl alcohol and dried with clean air.

After cleaning the cell, the temperature of the cell (without a glass slide) was rapidly heated to 36 or 52 °C, and the vapors coming from the cell were monitored for approximately 30 min to determine the background signal from the cell. After the background signal was recorded, a glass slide containing the SOA was introduced into the cell already at 36 or 52 °C. The vapors coming from the cell and glass slide (held at 36 or 52 °C) were then monitored for 2 h. For each temperature, experiments were performed in triplicate.

A detailed explanation of the Vocus has been published previously.<sup>50</sup> Briefly, ionization occurs via chemical ionization using hydronium ions  $(H_3O^+)$  as the reagent ion, which are generated by a low-pressure discharge method. The instrument drift tube was operated at a pressure of 2.1 mbar, reactor temperature of 60 °C, single ion signal of 2.75 mVns, and a reduced field strength (E/N) of 130 Td. The ion source was set at 427 V and 2 mA, and the water for the reagent ion flowed constantly at 20 sccm. We collected data at a 1-second time resolution with an inlet flow of ~50 sccm. Mass spectra were recorded from 0–497 m/z, and the Big Segmented Quadrupole (BSQ) of the Vocus was set at 320 V, filtering signals below m/z 45.

Data analysis was done using the Tofware/Igor Pro Package (Aerodyne Inc, Wavemetrics). Peaks were assigned to corresponding molecular weights using a mass accuracy of <5 ppm deviation from the exact masses.

2.4. EI-MS Characterization of Gas-Phase Vapors during Heating. To detect vapors coming off the SOA during heating, we also used a newly constructed Knudsen cell-temperature-programmed desorption apparatus coupled to an electron impact-mass spectrometer (EI-MS). Unlike the Vocus system described above, this system is sensitive to CO and  $CO_2$  vapors in addition to organic gaseous compounds.

For the EI-MS experiments, the SOA was impacted onto silicon wafers. The mass on each wafer ranged from 0.28 to 0.45 mg for catechol SOA and from 0.47 to 0.65 mg for  $\alpha$ -pinene SOA. For each experiment, a wafer was inserted into the vacuum chamber at 25 °C, which was then cooled to -45 °C while purging the chamber with helium gas. After reaching -45 °C, the chamber was pumped down to 1 × 10<sup>-4</sup> Torr.

Two types of experiments were conducted. The first involved heating the sample to 75 °C while keeping the sample isolated from the main vacuum chamber. When the sample temperature reached 75 °C, the sample compartment was opened to the main vacuum chamber, releasing a burst of evolved products into the chamber. A full mass spectrum was collected in the mass range of 12-250 m/z using a quadrupole mass spectrometer (Extrel) with electron impact ionization. The second type of experiment involved linearly heating the sample from -45 to 95 °C at a rate of 0.1 °C s<sup>-1</sup> while the sample was exposed to the vacuum chamber. During heating, the mass spectrometer was set for single ion monitoring at m/z 44, as identified during the first experimental approach. The temperature was held at 95 °C for about 10 min and then allowed to cool to room temperature.

2.5. UHPLC-HESI-HRMS of Condensed Phase Components before and after Heating. For analysis of the condensed SOA phase, both unheated and heated catechol SOA samples were used: heated samples were heated to either 36 or 52 °C for 2 h using the temperature-controlled cell used in the optical microscope and the Vocus experiments discussed above. During heating, a stream of nitrogen air ( $\sim 1 \text{ L min}^{-1}$ ) continuously flowed through the temperature-controlled cell.

A slide containing catechol SOA (before or after heating) was placed in a beaker and rinsed with 3 mL of acetonitrile and 3 mL of nanopure water. Based on a visual inspection, SOA fully dissolved off the slides shortly upon exposure to the solvent. The dissolved SOA was then rotary evaporated and redissolved in 0.5 mL acetonitrile and 0.5 mL nanopure water, as 1:1 ( $\nu/\nu$ ) ACN/H<sub>2</sub>O is the solvent system used for HRMS. Blanks of each clean beaker were run on HRMS and factored into the background signal.

Mass spectra were recorded with a Thermo Scientific Vanquish Horizon ultrahigh pressure liquid chromatograph coupled to an electrospray ionization Q Exactive Plus highresolution mass spectrometer (UHPLC-HRMS) with a resolving power of up to  $1.4 \times 10^{5}$  (at m/z 200). Chromatographic separation was performed on a reverse phase column (Phenomenex Luna Omega Polar C18, 150 mm  $\times$  2.1 mm, 1.6  $\mu$ m particles, 100 Å pores) with the column temperature kept at 30 °C. The eluent flow was 0.3  $\mu$ L min<sup>-1</sup> and consisted of LC-MS grade H<sub>2</sub>O acidified with 0.1% formic acid (solution A) and LC-MS grade acetonitrile with 0.1% formic acid (solution B). The gradient was as follows: 0-3min: 95% A; 3-14 min linear ramp to 95% B; 14-16 min hold at 95% B; 16 min return to 95% A; and 22 min hold in preparation for the next run. Mass spectra were obtained with the m/z range 100–750 in negative ion mode. The parameters of the heated electrospray ionization (HESI) ion source



**Figure 1.** Imaging results from a temperature ramp experiment with catechol SOA. The top panel shows the temperature profile (ramp rate of 2 °C min<sup>-1</sup>), and the bottom panel shows the corresponding cross-sectional area of the particle. The particle was attached to a needle to capture side-view images. The initial area-equivalent diameter of the particle (at t = 0) was 132  $\mu$ m, which grew to a maximum of 259  $\mu$ m at 1725 s.



**Figure 2.** Imaging results from heating experiments with catechol SOA. The top panel shows the temperature profile during heating (T = 36 °C). Corresponding cross-sectional areas of the catechol SOA particle (bottom panel) are shown. The particle was attached to a needle to capture side-view images. All images have dimensions of ~265 × 265  $\mu$ m.

settings of the Orbitrap were: 2.5 kV spray voltage, 300  $^{\circ}$ C probe heater temperature, 320  $^{\circ}$ C capillary temperature, S-Lens ion funnel RF level 30, 50 units of sheath gas (nitrogen) flow, 10 units of auxiliary gas (nitrogen) flow, and 1 unit of spare gas (nitrogen) flow. All SOA samples were run in triplicate.

Chromatograms were analyzed using Thermo Scientific FreeStyle 1.6. Integration with the mass spectra was performed between the column's dead time (2 min) and the start of the column re-equilibration time (16 min). Decon2LS program (https://pnnl-comp-mass-spec.github.io) was used to cluster all mass spectra from each temperature (room temperature, heated to 36 °C, and heated to 52 °C). Background peaks only present in the blank samples were removed from all of the SOA spectra. Peaks containing <sup>13</sup>C isotopes were removed. Mass accuracy of  $\pm 0.0005 \ m/z$  units was used to assign peaks with formulae  $C_x H_y O_z$  with restrictions implemented for

atomic ratios: C < 40, H < 80, and O < 35. The assigned formulas were corrected for deprotonation in the negative ionization mode and reported as neutral formulae of catechol SOA. Neutral molecular weights were calculated from neutral formulas (approximately equal to the ion's molecular weight plus one).

## 3. RESULTS AND DISCUSSION

**3.1. Optical Microscopy of SOA Material during Heating.** Optical images of catechol SOA material suspended on a tungsten needle (side view) were recorded while the temperature was increased by 2 °C min<sup>-1</sup> (Figure 1 and Video S1). At approximately 40 °C, the cross-sectional area of the material began to increase. At a temperature of 80 °C, the cross-sectional area had increased by a factor of ~4 (Fig. 1). Above 80 °C, the cross-sectional area suddenly decreased and



**Figure 3.** Results from 52 °C heating experiments with catechol SOA. The top panel shows the temperature profile during heating. Corresponding cross-sectional areas of the catechol SOA particle (bottom panel) are shown. Top-view images of the particles on hydrophobic glass slides are provided alongside the data. During heating, bubbles began to form within the catechol SOA particle. All images have dimensions of ~240 × 220  $\mu$ m.

then increased several times thereafter. The particles also became less opaque when the cross-sectional area increased. We attribute this cycle of increasing and decreasing crosssectional area to the boiling of SOA material, with the gradual size increase being due to trapped bubbles growing inside the particle and the sudden decrease in size being caused by bubbles escaping from the particle.

For catechol SOA, the onset of boiling was approximately 40  $^{\circ}$ C. Side-view heating experiments, where the temperature was first increased rapidly and then held at 36  $^{\circ}$ C, were also performed for catechol SOA (Figure 2 and Video S2). The cross-sectional areas of the SOA particles also increased in these experiments, consistent with the boiling behavior shown in Figure 1. Figure 2 and Video S2 suggest that boiling of the catechol SOA occurred even at the mild temperature of 36  $^{\circ}$ C.

Figure 3 and Video S3 show the SOA material on a hydrophobic glass slide (top view) during rapid heating to 52 °C followed by a constant temperature of 52 °C for approximately 19 min. At 52 °C, the cross-sectional area of catechol SOA increased, consistent with Figures 1 and 2. Additionally, in all our experiments, bubble-shaped inclusions were clearly visible in the SOA, consistent with the boiling of SOA material. In contrast, when  $\alpha$ -pinene SOA was heated to 52 °C, inclusions were not observed and the cross-sectional area did not increase (Figure S3).

The absolute increases in the cross-sectional area in Figures 2 and 3 are dependent on the experimental setup (i.e., side view vs top view and attached to a needle vs on a hydrophobic glass substrate). Nevertheless, the different geometries and substrates all show boiling behavior when heated to mild temperatures.

**3.2.** Vocus PTR-ToF-MS Characterization of Gas-Phase Vapors during Heating. To understand which molecules were participating in the boiling behavior of catechol SOA, we built a setup to flow the evolving gas phase molecules into a Vocus. We measured the background vapors from the experimental setup by heating a flow cell to 36 or 52 °C (Figures S4 and S5). Next, we added the SOA sample to the heated flow cell and observed the vapors that evolved from the heated SOA sample and flow cell combined (Figures S4 and S5). Difference mass spectra between the sample and heated flow cell show the enhancement of  $m/z C_3H_7O^+$  and  $C_3H_9O_2^+$  assigned to acetone and an acetone—water cluster, respectively (Figure 4). There was a strong correlation between the signals of  $C_3H_7O^+$  and  $C_3H_9O_2^+$  ( $R^2 = 0.99$ , Figure S6). These results indicated that acetone was a dominant species that evolved from the SOA material when heated to 36 or 52 °C.



Figure 4. Difference mass spectra measured by the Vocus of the vapors from the flow cell and the vapors from the flow cell and the SOA sample. The total ion counts per second (cps) are plotted as a function of mass to charge ratio during heating (a) to 36 °C and (b) to 52 °C. Mass spectra of the flow cell background and the flow cell with the sample in it are provided in Section S3.

Traces of the total ion count for the acetone peak and the acetone-water cluster peak as a function of time display the enhancement in acetone during heating of the SOA (Figure 5).



**Figure 5.** Time dependence traces at 36 and 52 °C for (a) the total ion signal and for (b)  $C_3H_7O^+$  in red (likely acetone) and  $C_3H_9O_2^+$  in blue (likely an acetone–water cluster).

As soon as the catechol SOA sample was introduced to the heated cell (at 1800 s), the total ion signal and the acetone signals rapidly increased, followed by a slow decay. The decay of the total ion signal and the acetone signals is likely due to the decay of acetone that is available to evaporate. Nevertheless, even at a time of 3000 s, the total ion signal and the acetone signals were still above background levels recorded prior to introducing the catechol SOA sample into the heated cell.

The high background of acetone observed here (e.g. Figure 5) is a combination of acetone internally present in the Vocus,

which is elevated when the inlet PEEK capillary is obstructed, as shown previously,<sup>51</sup> and acetone outgassing from the empty temperature-controlled flow cell used in the heating experiments. The sum of the internal acetone signal and outgassing from the empty flow cell was slightly enhanced at higher temperatures.

Acetone has been observed previously from the photodegradation of various types of SOA using near-UV radiation (300 nm).<sup>52,53</sup> Acetone has also been observed as a minor product from the pyrolysis of carboxylic acids, alcohols, and peroxides, although typical at higher temperatures than used in our experiments.<sup>54</sup> Additional studies are needed to determine the mechanism of production of acetone from catechol SOA.

**3.3. EI-MS Characterization of Gas-Phase Vapors during Heating.** The first type of EI-MS experiment involved heating the sample to 75 °C while keeping the sample isolated from the main vacuum chamber. When the sample temperature reached 75 °C, the sample compartment was opened to the main vacuum chamber, releasing a burst of evolved products into the chamber. In this type of experiment, the only new peak compared to the blank silicon wafer occurred at m/z 44, assigned to CO<sub>2</sub> (Figure S7). The peak at m/z 44 is not likely due to acetone. The electron impact mass spectrum of acetone has major peaks at m/z of 43 and 58 and only a small relative abundance (~2%) at m/z 44, <sup>55</sup> and we did not observe m/z of 43 and 58 in our experiments. Therefore, it is unlikely that acetone contributes significantly to the m/z 44 signal detected here.

The second type of experiment involved linearly heating the sample from -45 to 95 °C at a rate of 0.1 °C s<sup>-1</sup>. During heating, the mass spectrometer was set for single ion monitoring at m/z 44, identified during the first experimental approach. The CO<sub>2</sub> signal at m/z 44 was observed to increase starting at 40 °C and reach a maximum at 95 °C (Figure 6a). The temperature was then held at 95 °C and the signal decreased back to the background level within 5 min (Figure 6b). The onset temperature for the evolution of CO<sub>2</sub> here is consistent with the onset observed by imaging the particle's cross-sectional area (Figure 1). When the same procedure was carried out with  $\alpha$ -pinene SOA, the m/z 44 signal was much lower (Figure 6a,b). For EI-MS measurements, the heating of SOA occurs at reduced pressures. This may have resulted in faster evaporation kinetics compared to the other techniques



**Figure 6.** (a) Temperature response curves for m/z 44 during heating of  $\alpha$ -pinene SOA (blue), catechol SOA (orange), and blank (yellow) samples. (b) Chromatogram showing the time evolution of the molecular flux of CO<sub>2</sub> during a temperature ramp for  $\alpha$ -pinene SOA (blue) and catechol SOA (orange). Time zero indicates the time of peak onset that corresponds to 40 °C and time 405 s corresponds to 95 °C.

# Table 1. Calculation of the CO<sub>2</sub> Yield

SOA type	SOA mass <sup>a</sup> (mg)	initial molecules SOA <sup>b</sup>	molecules of CO <sub>2</sub> evolved	CO <sub>2</sub> yield (%) (mol CO <sub>2</sub> /mol SOA)
$\alpha$ -pinene + O <sub>3</sub>	$0.56 \pm 0.09$	$(1.7 \pm 0.3) \times 10^{18}$	$1.2 \times 10^{16}$	$0.7 \pm 0.1$
catechol + O <sub>3</sub>	$0.36 \pm 0.09$	$(1.1 \pm 0.3) \times 10^{18}$	$1.3 \times 10^{17}$	$12 \pm 2.9$
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"Error based on upper and lower limits in chamber mass loading during sample collection on Si wafers. "Assuming an average molecular weight of 200 g mol<sup>-1</sup> for both SOA samples.

used in this study; however, the onset temperature of decomposition was likely not strongly dependent on pressure.

The yield of CO<sub>2</sub> produced during the heating process was calculated by calibrating the signal at m/z 44 using known pressures of CO<sub>2</sub>. The CO<sub>2</sub> signals in the chromatogram (Figure 6b) were integrated. The integrated signal represents the total  $CO_2$  evolved from the SOA sample (Table 1) since all of the CO<sub>2</sub> molecules evolved eventually reach the detector. The total number of SOA molecules initially present was calculated by using the estimated total mass of SOA impacted on the silicon wafer substrates and by assuming an average molar mass of 200 g mol<sup>-1.56</sup> By dividing the number of  $CO_2$ molecules evolved by the total number of initial molecules we estimate a CO<sub>2</sub> yield to be  $12 \pm 2.9\%$  for catechol SOA. For comparison, we estimated a CO<sub>2</sub> yield of 0.7  $\pm$  0.1% for  $\alpha$ pinene SOA. We also estimated the yield of acetone produced during the heating of catechol SOA (to 52 °C) from the Vocus experiments to be 0.0008%, based on an estimated sensitivity of 10000 cps/ppb. This yield is significantly smaller than the calculated yield of CO<sub>2</sub> from the EI-MS experiments.

Related to these observations, Zhao et al. recently suggested that heating of highly functionalized organic aerosol at mild to moderate temperatures (45–100 °C) leads to the decomposition of oligomers and the formation of  $CO_2$ , CO, and  $H_2O$ .<sup>22</sup> In addition, to explain results from thermal desorption instruments, Stark et al. assumed that heating SOA leads to the loss of carboxyl groups ( $-CO_2$ ), carbonyl groups (-CO), and hydroxyl groups ( $-H_2O$ , assuming dehydration involving the loss of a hydroxyl group).<sup>18</sup> Nevertheless, additional studies are needed to determine the mechanism of production of  $CO_2$  from catechol SOA.

**3.4. Characterization of Condensed Phase SOA Components Using UHPLC-HESI-HRMS.** UHPLC-HESI-HRMS analysis was conducted on unheated catechol SOA samples and catechol SOA samples heated for ~2 h at either 36 or 52 °C. Figure 7 shows the integrated mass spectra (from 2 to 16 min of chromatographic elution), and Table S1 presents a summary of the most abundant peaks from the mass spectra as well as possible molecular assignments. Some of the peaks were identified as monoterpene and sesquiterpene oxidation products, likely from SOA experiments conducted in the same environmental chamber prior to catechol SOA production. See Section S5 for further discussion.

Despite these issues with contamination, we were able to determine that a dimer  $(C_{12}H_{12}O_8)$  was a major species in the catechol SOA, and this species most likely decomposed or reacted away when heated to 52 °C. Consistent with this observation, Zhao et al. recently showed that oligomers in highly functionalized organic aerosol decompose at mild to moderate temperatures (45-100 °C).<sup>22</sup> Pillar-Little et al. also observed the formation of dimers due to indirect oxidation by in situ produced OH during the ozonolysis of catechol.<sup>57</sup> However, their dimers had molecular formulas different from those observed here, and a OH scavenger was used in the current studies, which should have limited OH concentrations.



**Figure 7.** Integrated high-resolution mass spectra for catechol SOA at (a) room temperature, (b) heated to 36  $^{\circ}$ C, and (c) heated to 52  $^{\circ}$ C. The peaks are shown with absolute abundance for each temperature.

Additional studies are needed to determine the structure and mechanism of formation for the dimer observed in the current study.

**3.5.** Viscosity of SOA Material and Mixing Time of CO<sub>2</sub> within the SOA during Heating. The viscosity of catechol SOA was previously measured to be  $>1 \times 10^9$  Pa s at 20 °C (293 K) under dry conditions, i.e., ~0% RH.<sup>31</sup> We used these results and the Vogel–Fulcher–Tammann (VFT) equation to predict the viscosity of the catechol SOA at temperatures >20 °C. The VFT equation describes the temperature dependence of viscosity:<sup>58,59</sup>

$$\ln \eta(T) = \ln \eta_0 + \frac{D_f T_0}{T - T_0}$$
(1)

In eq 1,  $\eta_0$  is the viscosity at infinite temperature,  $D_f$  is the fragility parameter, and  $T_0$  is the Vogel temperature. We assumed values of  $D_f = 10^{32,60-62}$  and  $\eta_0 = 1 \times 10^{-5.59}$  By inserting a viscosity of  $1 \times 10^9$  Pa s and T = 20 °C (293 K) into eq 1, we calculated  $T_0$  to be -49 °C for catechol SOA. Using this value for  $T_0$  and our assumptions for  $D_f$  and  $\eta_0$ , the viscosity of catechol SOA was predicted at temperatures >20 °C (Figure 8a). At temperatures of 36 and 52 °C, the viscosities were greater than  $1 \times 10^6$  and  $1 \times 10^4$  Pa s, respectively (Figure 8a).

From the viscosity results shown in Figure 8a, we calculated the diffusion coefficient and mixing time of  $CO_2$  within the SOA. The calculated diffusion coefficient and mixing time of  $CO_2$  will be an upper limit to the diffusion coefficient and mixing time of acetone within the SOA since diffusion



**Figure 8.** (a) Lower limits to the viscosity of catechol SOA as a function of temperature, calculated using the room temperature viscosity of catechol SOA from Kiland et al.<sup>31</sup> with the VFT equation, eq 1. (b) Lower limits to the mixing time of CO<sub>2</sub> ( $\tau_{mix}$  CO<sub>2</sub>) in a 200 µm particle (black line) and a 200 nm particle (blue dashed line). Since the room temperature viscosities were lower limits, the viscosities and mixing times of CO<sub>2</sub> are lower limits.

coefficients and mixing times are inversely related to the radius of the diffusion species. First, the diffusion coefficient of  $CO_2$  within the SOA was calculated from viscosity using the fractional Stokes–Einstein equation:<sup>63</sup>

$$D_{\rm CO_2}(T) = D_{\rm H_2O}^{\circ}(T) \times \left(\frac{\eta_{\rm H_2O}^{\circ}(T)}{\eta(T)}\right)^{\xi}$$
(2)

where  $D^{\circ}_{H_2O}(T)$  is the temperature-dependent diffusion coefficient of water in pure water calculated with the Stokes–Einstein equation, and  $\eta^{\circ}_{H_2O}(T)$  is the temperaturedependent viscosity of pure water.  $\eta^{\circ}_{H_2O}(T)$  was calculated, as done previously, using a VFT fit to pure water viscosity data.<sup>61,64,65</sup>  $\eta(T)$  is the viscosity in the SOA calculated with the VFT equation, and  $\xi$  is the fractional exponent determined by

$$\xi = 1 - \left[ A \times \exp\left(-B \frac{r_{\text{diff}}}{r_{\text{matrix}}}\right) \right]$$
(3)

where A and B are coefficients with values of 0.73 and 1.79, respectively.<sup>63</sup>  $r_{\rm diff}$  and  $r_{\rm matrix}$  are the hydrodynamic radii of the diffusing molecules and matrix molecules, respectively. For CO<sub>2</sub>, we used a  $r_{\rm diff}$  of 0.103 nm.<sup>66</sup> For  $r_{\rm matrix'}$  we used a value of 0.406 nm, based on the weighted average of the molecular weights shown in Table S1, an assumed spherical geometry of the matrix molecules, and a density of 1.4 g L<sup>-1</sup> for catechol SOA.<sup>67</sup> An  $r_{\rm matrix}$  of 0.406 nm yielded an  $\xi = 0.54$ . The resulting diffusion coefficients of CO<sub>2</sub> were then used to calculate the mixing time of CO<sub>2</sub> within catechol SOA using

$$\tau_{\rm mix} = \frac{d_{\rm p}^2}{4\pi^2 D_{\rm CO_2}} \tag{4}$$

where  $d_p$  is the diameter of the catechol SOA particle and  $D_{CO_2}$  is the diffusion coefficient of CO<sub>2</sub> in the SOA particles.

Shown in Figure 8b (solid line) is the calculated mixing times as a function of temperature of CO<sub>2</sub> within a 200  $\mu$ m particle (roughly the diameter of the super-micrometer particles observed using optical microscopy, which are the conglomerate of smaller aerosol particles). At temperatures of 36 and 52 °C, the mixing times of CO<sub>2</sub> within 200  $\mu$ m catechol SOA particles are ~39600 and ~3640 s (11 and 1 h),

respectively. Recall that these mixing times are based on a lower limit estimate of the viscosity of catechol at room temperature; therefore, the mixing times here are also lower limits. The calculated mixing is relatively slow and these mixing times are longer than the experimental times used in the optical microscope experiments (Figures 2 and 3). Hence, any  $CO_2$  produced within a 200  $\mu$ m particle during heating will not immediately escape the particles and could lead to the observed boiling behavior (see below).

3.6. Explanation of the Boiling Experiments. For boiling to occur in the SOA particles, two conditions must be fulfilled: (1) chemical reactions resulting in a formation of molecules with vapor pressures >1 atm must occur when SOA is heated; (2) the production rate of these high vapor pressure molecules must be larger than the loss rate of these molecules by molecular diffusion of the molecules to the surface of the SOA particles followed by evaporation. The vapor pressure of acetone is <1 atm at 36 and 52 °C.<sup>68</sup> The vapor pressure of  $CO_2$  is  $\gg 1$  atm at these temperatures.<sup>69,70</sup> Hence, the formation of CO<sub>2</sub> upon heating of the SOA would satisfy the first criterion. The slow mixing time of  $CO_2$  in the particles calculated above (Figure 8b) also suggests that the second criterion would be satisfied in our experiments. Taken together, the formation of CO<sub>2</sub> during mild heating and the slow mixing time of CO<sub>2</sub> within the supermicrometer SOA particles explain the observed particle boiling behavior.

This is the first study that has directly observed boiling in SOA materials due to heating. However, previous studies indirectly observed similar behavior. Specifically, Liang et al. observed the formation of inclusions/bubbles within supermicron particles containing ammonium nitrate and sucrose exposed to UV light.<sup>71</sup> Liang et al. suggested the formation of inclusions/bubbles could be due to (1) the fast production rate of NO<sub>2</sub> and NO by photolysis of ammonium nitrate and (2)the slow loss rate of NO<sub>2</sub> and NO by molecular diffusion to the surface of the particles followed by evaporation. These arguments are very similar to the explanations presented in this work. In general, we expect that inclusions/bubbles are expected in supermicrometer particles during heating or photolysis if there is fast production of high volatility compounds (e.g., NO<sub>2</sub>, CO<sub>2</sub>) and high material viscosities, which limits the loss rate of these high volatility compounds.

3.7. Extrapolation to Submicron SOA Particles. Our experiments were carried out with supermicrometer particles (conglomerated SOA particles with diameters of ~200  $\mu$ m in the optical microscopy experiments). For this case, the mixing time of  $CO_2$  within the particles is long, even at 36 and 52 °C (>1 h) (Figure 8b, solid line). We have also calculated lower limits to the mixing time of CO<sub>2</sub> in 200 nm SOA particles as a function of temperature (Figure 8b, dashed line) using eqs 2-4. 200 nm particles were used for these calculations since they approximately correspond to the median diameter of an accumulation mode particle in the troposphere.<sup>72</sup> In this case, the lower limits to the mixing times were 0.04 and 0.004 s at 36 and 52  $^{\circ}$ C, respectively. If the mixing time of CO<sub>2</sub> is 0.04 to 0.004 s, any CO<sub>2</sub> produced in the particles during heating would very quickly escape the particles, and boiling would not be expected. However, the mixing times could be larger than 0.04 and 0.004 s, since our calculated mixing times correspond to lower limits. As a result, we are not able to rule out boiling in 200 nm catechol SOA particles during heating. Additional experiments are needed to better constrain the viscosity and mixing times of CO<sub>2</sub> at warm temperatures to determine if boiling can occur in 200 nm catechol SOA at mild heating temperatures.

**3.8. Implications.** We have shown that mild heating of catechol SOA causes acetone and  $CO_2$  production, resulting from the decomposition of SOA compounds. The production of acetone and  $CO_2$  during heating coupled with the high viscosity of the catechol SOA has implications for extracting the volatilities of SOA components from thermograms. The production of acetone and  $CO_2$  implies fragmentation reactions of SOA components when heating, which will need to be considered when analyzing thermograms of catechol SOA and potentially other SOA materials where similar reactions and processes can occur.

The high viscosities of the SOA should similarly be considered when analyzing TD measurements. When converting TD measurements to volatilities, researchers have often assumed that the SOA particles are well mixed, implying their viscosity is low and not a kinetic barrier to evaporation.<sup>11,12,30</sup> For most TD measurements, particle diameters are on the order of 200 nm. We calculated lower limits to viscosities and mixing times within 200 nm of catechol SOA as a function of temperature (Figure 8). However, we are not able to determine if 200 nm catechol SOA particles will be well mixed in TD measurements when heated to mild temperatures since the calculations were lower limits. For some TD measurements, sub-micrometer SOA particles are first collected on a surface using an impactor.<sup>20</sup> If particle collection times are long and particle mass loadings are high, submicrometer SOA particles will coagulate on the surface and form particles with dimensions greater than 1  $\mu$ m. If the particle dimensions reach ~200  $\mu$ m, the SOA particles will not be well mixed in TD measurements when heated to mild temperatures based on our calculations (Figure 8).

We have also shown here that optical microscopy combined with a heating stage is a simple and sensitive method for determining cases when (1) molecules with high vapor pressures (> 1 atm) are produced by thermal decomposition and (2) the viscosity of the particles is high even when heated. It would be instructive to apply this technique to other types of SOA of atmospheric importance.

# ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsestair.4c00027.

Video S1: Imaging of catechol SOA during a temperature ramp experiment (corresponding to Figure 1). The particle was attached to a needle to capture side-view images. The initial area-equivalent diameter of the particle (at t = 0) was 132  $\mu$ m, which grew to a maximum of 259  $\mu$ m at 1725 s. Note that the temperature values in the video haven't been corrected for the offset of the temperature-controlled cell, so the actual temperatures are ~0-5 °C higher than shown here. The correct temperatures are shown in Figure 1 (AVI)

Video S2: Imaging of catechol SOA during heating to 36 °C (corresponding to Figure 2). The particle was attached to a needle to capture side-view images. The initial area-equivalent diameter of the particle (at t = 0) was ~150  $\mu$ m. Note that the temperature values in the video haven't been corrected for the offset of the temperature-controlled cell, so the actual temperatures are ~1 °C higher than shown here. The correct temperatures are shown in Figure 2 (AVI)

Video S3: Imaging of catechol SOA during heating to 52 °C (corresponding to Figure 3). The particle was placed on a hydrophobic glass slide to capture top-view images. The initial area-equivalent diameter of the particle (at t = 0) was ~150  $\mu$ m. Note that the temperatures in the video haven't been corrected for the offset of the temperature-controlled cell, so the actual temperatures are ~2 °C higher than shown here. The correct temperatures are shown in Figure 3 (AVI)

Relevant chemical structures, schematics of the imaging experiments, additional mass spectrometry data, and a discussion of possible contamination from other SOA experiments (PDF)

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#### Notes

The authors declare no competing financial interest.

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